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Stereochemistry of 1,2-Oxaphospholanes, III Evidence for the Retro-*Abramov* Pathway in Methoxide-Catalysed Equilibration of Substituted 2-Methoxy-2-oxo-1,2oxaphospholan-3-ols

Andrzej E. Wróblewski* and Witold T. Konieczko

Institute of Organic Chemistry, Technical University (Politechnika), PL-90-924 Łódź, Poland

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Diastereomeric 3,4-dimethyl-2-methoxy-2-oxo-1,2-oxaphospholan-3-ols (2 a-d) were obtained by the cyclisation of the phosphite 3 and their relative configurations were established by ¹³C-NMR spectra. Equilibrations of pure 2a and 2b as well as a 3:2 mixture of 2b and 2d with sodium methoxide in methanol afforded a mixture of 2a-d. The mechanism of the equilibration involves the P-C₃ bond cleavage (retro-*Abramov* reaction), and the epimerization of the chiral P-anion 5 and/or the P-O₁ bond scission. The ratios of diastereomers 2a-d obtained in equilibration and from the cyclisation of 3 were interpreted in terms of the thermodynamic and kinetic preferences.

(Keywords: Configuration by ¹³C-NMR; 1,2-Oxaphospholan-3-ols, synthesis, equilibration; Retro-Abramov reaction)

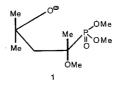
Stereochemie der 1,2-Oxaphospholane, III. Bestätigung des Retro-Abramov-Reaktionsweges der mit Methoxid katalysierten Äquilibrierung von substituierten 2-Methoxy-1,2-oxaphospholan-3-ol-2-oxiden

Diastereomere 3,4-dimethyl-2-methoxy-1,2-oxaphospholan-3-ol-2-oxide wurden über die Cyclisierungsreaktion von Phosphit **3** erhalten und ihre relativen Konfigurationen mit ¹³C-NMR-Spektren bestätigt. **2a** und **2b** sowie eine 3:2-Mischung von **2b** und **2d** geben unter Gleichgewichtsbedingungen mit Natriummethoxid in Methanol eine Mischung von **2a**-d. Der Mechanismus dieser Äquilibrierung schließt die Spaltung der P-C₃-Bindung (Retro-*Abramov*-Reaktion) und die Epimerisierung des chiralen P-Anions **5** und/oder die Spaltung der P-O₁-Bindung ein. Die Verhältnisse der Diastereomeren **2a**-d, berechnet im Gleichgewichtszustand sowie aus der Cyclisationsreaktion von **3**, wurden mittels thermodynamischer und kinetischer Bevorzugung interpretiert.

Introduction

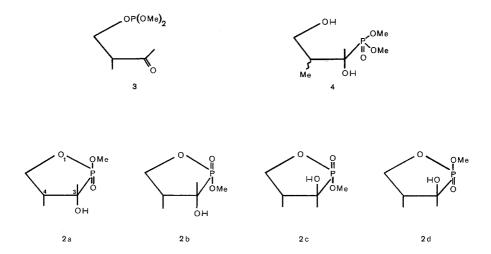
Addition of dialkyl phosphites to aldehydes or ketones—known as the *Abramov* reaction¹—is a particularly useful way for the formation of the P–C bond²⁻⁴. The retro-*Abramov* reaction plays an important role as a method for the removal of a phosphorus residue from the corresponding α -hydroxyphosphonates⁵.

In the previous paper from this laboratory it was shown that 2-methoxy-2-oxo-3,5,5-trimethyl-1,2diastereomerically pure oxaphospholan-3-ols as well as their methyl ethers undergo methoxidecatalysed equilibration⁶. The latter reaction involves breaking of the $P-O_1$ bond with the ring-opened species 1 as the intermediate while in the former also the $P-C_3$ bond cleavage (retro-Abramov reaction) can be expected⁶. In connection with the recently reported synthesis of polyhydroxy 1,2-oxaphospholanes⁷ we became interested in the behaviour of the C₃ and P chiral centers of the 1,2-oxaphospholan-3-ol system under equilibration conditions. We reasoned that the strategy of the studies on this problem would consist of the following steps: (i) synthesis of substituted 1,2-oxaphospholan-3-ols containing chiral centre at C_4 or C_5 , (ii) separation of at least one pure from the four possible diastereomers, and (iii) methoxide-catalysed equilibration of this diastereomer. Here, we wish to show an evidence for the retro-Abramov pathway in the methoxide-catalysed equilibration of 3.4-dimethyl-2-methoxy-2-oxo-1,2-oxaphospholan-3diastereomeric ols (2a-d).

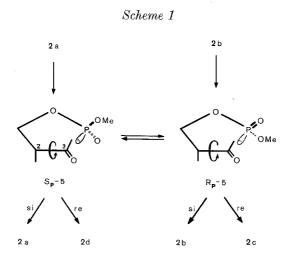


Results and Discussion

Dimethyl-(2-methyl-3-oxobutyl) phosphite (3) was prepared under standard conditions⁸ from 4-hydroxy-3-methylbutanon-2 and dimethyl phosphorochloridite. The cyclisation of 3 with the equivalent of water⁶ gave a 58:24:16:3 mixture of 2a, 2b, 2c and 2d respectively, together with less than 10% of the ring-opened phosphonates 4 and some unidentified impurities. From the reaction mixture 2a and 2b were isolated and identified based on the ¹³C-NMR data. The relative configuration of the OH and P=O groups was deduced from the upfield shifts of the CH₃-C₃ and CH₃O in 2a in comparison to those in 2b. This

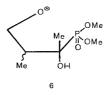


corresponds to our previous observations⁶. In 2a and 2b CH_3-C_4 had very close chemical shifts and both were substantially shielded. This effect is expected for CH_3-C_4 *cis* to the OH group and it was earlier observed for the substituted cyclopentanols^{9,10}. Furthermore, in the spectrum of a 3:2 mixture of 2b and 2d CH_3-C_4 in 2d appeared in lower field than that in 2b, and CH_3-C_3 in 2d was shifted upfield in comparison to those in 2a and 2b in agreement with the expected increase of crowding around CH_3-C_3 in 2d as compared to 2a and 2b. The assignment of configuration at P in 2d is based on its upfield shift in ³¹P-nmr relative to that in 2c¹¹.



787

Equilibration experiments involved isomerisations of pure diastereomers 2 a and 2 b as well as the 3:2 mixture of 2 b and 2 d. To ca. 2 M methanolic solutions of 2 10 mol% of sodium methoxide was injected and the progress of the reaction was monitored by ³¹P-NMR at room temperature. Regardless on which diastereomer was used as the starting material always a mixture of 2a, 2b, 2c and 2d was formed instantaneously together with some contaminations. This result strongly suggests the $P - C_3$ bond cleavage in 2 a-d in the retro-Abramov mode and the intermediacy of the species 5 (Scheme 1). For example, the result of equilibration of 2a could be rationalized in the following manner. The carbonyl group in S_P -5 can be attacked from the *si* face affording **2** a or alternatively after rotation around the $C_2 - C_3$ bond from the *re* face giving 2 d. For the formation of diastereomers with opposite configurations at phosphorus, such as 2 b and 2 c, two pathways should be considered. First, racemization of the chiral dialkyl phosphite anion in 5 seems to be quite easy. However, to our knowledge there is no evidence in the literature for the configurational instability of P-anions derived from chiral dialkyl phosphites. Although anions prepared in the absence of methanol from O-alkyl phenylphosphinates^{12,13} are configurationally stable, traces of sodium methoxide caused slow racemization of benzylphenylphosphine oxide¹⁴ and instantaneous one of O-iso-propyl methylphosphinate¹⁵. Taking into account these observations it is highly likely that P-chiral anion in S_{P} -5 as well as in R_{P} -5 would be also readily epimerized under conditions of equilibration. From the R_{P} -5 anion 2 b or 2 c will be produced after an attack on the sior re faces, respectively. The other pathway would involve direct transformation of 2a into 2b, and 2d into 2c via the $P-O_1$ bond scission mechanism⁶. In this mechanism the pro-R or pro-S methoxy group is eliminated from the intermediate 6 through the trigonal bipyramid transition states.



Although all equilibrations have been performed under similar conditions the ratios of 2a : 2b : 2c : 2d slightly varied from 42 : 28 : 6 : 24 while starting from 2a, to 40 : 41 : 6 : 13 when 2b was equilibrated, and to 35 : 44 : 6 : 13 when the 3 : 2 mixture of 2b and 2d was treated with methanolic sodium methoxide. The discrepancies arise most likely from

the consumption of the catalyst in side reactions. However, the significant excess of 2a and 2b over 2c and 2d is noticed in every experiment. The observed ratios of diastereomeric 2 reflect nonbonded repulsive interactions expected in 2a-d between substituents around the $C_3 - C_4$ and $P - C_3$ bonds. The interactions of the *cis*-oriented $Me - C_4$ and $Me - C_3$ in 2c and 2d are greater ¹⁶ than those of $Me - C_4$ and $HO - C_3$ in 2a and 2b. Equilibrations produce almost equal amounts of 2a and 2b and this corresponds to comparable repulsions of MeO and $Me - C_3$ in 2a, and MeO and $HO - C_3$ in 2b. On the other hand, the significant excess of 2d over 2c (3:1) is found. In 2c the three largest substituents occupy the same side of the 1,2-oxaphospholane ring and their interactions diminish the stability of this isomer to such a degree that traces of it were detected in all experiments. In this pair of diastereomers the $Me - C_4$ and $Me - C_3$ groups are in *cis* configuration and for this reason interactions of P = 0 and $Me - C_3$ in 2d are smaller those of MeO and $Me - C_3$ in 2c.

A comparison of the ratios of diastereomeric 2a-d obtained from equilibrations and from cyclisation of **3** suggests that the latter is most likely kinetically-controlled. Unindependently of the mode of control 2aand 2b are produced in excess over 2c and 2d. Within diastereomeric pairs 2a and 2b, and 2c and 2d the isomers in which the intramolecular $P=O\cdots H-O-C_3$ hydrogen bond exists are significantly favoured. This is especially well documented for 2c which is formed from **3** in ca. five-fold excess over 2d.

We expect that the observations presented in this paper could be fruitfully applied to the transformations of enantiomeric polyhydroxy 1,2-oxaphospholanes⁷ into their epimers.

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Experimental

¹³C and ³¹P-NMR spectra were taken on Bruker HX 72 at 22.63 and 36.43 MHz, respectively by operating in the FT mode. The conditions for the ¹³C-NMR measurements were as follows: SW = 2000 Hz and data points 8 K/4 K. Chemical shifts were referenced to the central signal of CDCl₃ (77.1 ppm) and recalculated relative to TMS. For ³¹P-NMR spectra the chemical shifts are expressed with positive sign when downfield from internal 85% H₃PO₄. ¹H-NMR spectral data were obtained on a Tesla BS 487 C (80 MHz) for the CDCl₃ solutions with TMS as the internal standard. Other instrumentation and general techniques were as described in Ref.⁶.

4-Hydroxy-3-methyl butanon-2 was prepared in 32% yield according to the literature procedure $^{17}.$

Dimethyl-(2-methyl-3-oxobutyl) Phosphite (3)

To a mixture of 4-hydroxy-3-methylbutanon-2 (2.04 g, 0.02 mol) and triethylamine (2.8 ml, 0.02 mol) in 20 ml of benzene cooled to 10° a solution of dimethyl phosphorochloridite¹⁸ (2.8 g, 0.022 mol) in 5 ml of benzene was added dropwise below 10° under argon atmosphere. Stirring was continued for 1 h at this temperature and the reaction mixture was filtrated, thoroughly washed with benzene and evaporated under reduced pressure (0.05 mm Hg) to give crude **3** (3.80 g, 98%) as colorless unstable oil.

¹H-NMR: $\delta = 1.11$ (d, J = 7.0, 3 H, CH₃CH), 2.18 (s, 3 H, CH₃CO), 2.85 (sextet, J = 7.0, 1 H, CH₃CH), 3.49 [d, J = 11.0, 6 H, (CH₃O)₂], 3.7–4.4 (m, 2 H, CH₂O).

³¹P-NMR (CDCl₃): $\delta = 139.9$.

Reaction of 3 with Water

To magnetically stirred **3** (3.80 g, 0.019 mol) water (0.35 ml, 0.019 mol) was added *drop by drop* with external cooling. After 2 h the crude product was examined by ³¹P-NMR and subjected to column chromatography on 60 g of silica gel (Merck, catalog number 7734). Separation was effected with chloroform/methanol 100/1.

 $(2S^*, 3S^*, 4R^*)$ -3,4-Dimethyl-2-methoxy-2-oxo-1,2-oxaphospholan-3-ol (2a)

 $R_F=0.31$ (chloroform/methanol 20:1) — Yield 0.75 g (21%). M. p. 122.5–123° (chloroform-hexane).

¹H-NMR: $\delta = 1.00$ (d, J = 6.7, 3 H, CH₃-C₄), 1.44 (d, J = 15.7, 3 H, CH₃-C₃), 2.1–2.4 (m, 1 H, H-C₄), 3.81 (d, J = 10.6, 3 H, CH₃-OP), 3.9–4.2 (m, 2 H, CH₂).

¹³C-NMR: $\delta = 7.46$ (d, J = 10.3, $CH_3 - C_4$), 18.67 (d, J = 9.8, $CH_3 - C_3$), 41.28 (d, J = 16.1, C_4), 53.15 (d, J = 7.3, $CH_3 - OP$), 67.55 (d, J = 141.1, C_3), 70.67 (d, J = 8.3, C_5).

³¹P-NMR (CDCl₃): $\delta = 45.8$.

IR (KBr): 3250 (OH), 1250 (P=O), 1060 cm⁻¹ (P-O-C).

 $\begin{array}{ccc} {\rm C_6H_{13}O_4P} \ (180.13). & {\rm Found.} \ {\rm C\,39.67\ H\,7.31\ P\,17.05}. \\ {\rm Calc.} & {\rm C\,40.01\ H\,7.27\ P\,17.19}. \end{array}$

 $(2R^*, 3S^*, 4R^*)$ -3,4-Dimethyl-2-methoxy-2-oxo-1,2-oxaphospholan-3-ol (2b)

 $R_F=0.20$ (chloroform/methanol 20:1). — Yield
 $0.134\,{\rm g}$ (3.7%). — M. p. 89—90° (chloroform-hexane).

¹H-NMR: $\delta = 1.01$ (d, J = 6.7, 3 H, CH₃-C₄), 1.50 (d, J = 15.8, 3 H, CH₃-C₃), 2.2–2.6 (m, 1 H, H-C₄), 3.89 (d, J = 10.6, CH₃-OP), 3.7–4.4 (m, 5 H, CH₂).

¹³C-NMR: $\delta = 7.52$ (d, J = 10.3, $CH_3 - C_4$), 20.16 (d, J = 7.8, $CH_3 - C_3$), 41.91 (d, J = 18.1, C_4), 54.94 (d, J = 7.3, $CH_3 - OP$), 69.35 (d, J = 140.6, C_3), 70.88 (d, J = 8.8, C_5).

³¹P-NMR (CDCl₃): $\delta = 44.5$.

IR (KBr): 3250(OH), 1240(P=O), $1050 \text{ cm}^{-1}(P-O-C)$.

 $(2R^*, 3R^*, 4R^*)$ -3,4-Dimethyl-2-methoxy-2-oxo-1,2-oxaphospholan-3-ol (2 c) ³¹P-NMR (CDCl₃): $\delta = 43.9$.

790

 $(2S^*, 3R^*, 4R^*)$ -3,4-Dimethyl-2-methoxy-2-oxo-1,2-oxaphospholan-3-ol (2d)

¹³C-NMR: $\delta = 10.13$ (d, J = 8.8, $CH_3 - C_4$), 16.52 (d. J = 6.8, $CH_3 - C_3$), 41.50 (d, J = 18.1, C_4), 53.84 (d, J = 7.3, $CH_3 - OP$), 69.83 (d, J = 6.8, C_5), 70.33 (d, J = 144.0, C_3). ³¹P-NMR (CDCl₃): $\delta = 41.6$.

Equilibration of 2 a

To a solution of 2a (2.75 g, 15.3 mmol) in 7.5 ml of methanol 1.5 ml of 1.M sodium methoxide in methanol was added at room temperature. After 20 min methanol was evaporated (bath 30°) and the residue was chromatographed on 26 g of silica gel with chloroform/methanol 100/1 (300 ml) and 20/1 (50 ml) to give 1.38 g (50.0%) of 2a and 1.12 g (40.6%) of a mixture of 2b, 2c and 2d. Repeated chromatography of this mixture allowed separation of 0.304 g of 2b and 0.60 g of a 3:2 mixture of 2b and 2d.

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